

Article

Not peer-reviewed version

Quantifying the Risk of Accidents and Serious Incidents Due to Maintenance in General Aviation

<u>Daniele Paolo Scarpazza</u>* and Joseph Anthony Hutter

Posted Date: 19 February 2025

doi: 10.20944/preprints202502.1463.v1

Keywords: General; aviation; maintenance; reliability; inspection; failure



Preprints.org is a free multidisciplinary platform providing preprint service that is dedicated to making early versions of research outputs permanently available and citable. Preprints posted at Preprints.org appear in Web of Science, Crossref, Google Scholar, Scilit, Europe PMC.

Copyright: This open access article is published under a Creative Commons CC BY 4.0 license, which permit the free download, distribution, and reuse, provided that the author and preprint are cited in any reuse.

Disclaimer/Publisher's Note: The statements, opinions, and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions, or products referred to in the content.

Article

Quantifying the Risk of Accidents and Serious Incidents Due to Maintenance in General Aviation

Daniele Paolo Scarpazza * and Joseph A. Hutter

Independent Aviation Consultants, LLC

* Correspondence: info@independentaviation.training

Abstract: In the United States, General Aviation (GA) moves a small volume of passengers, but it accounts for a disproportionate number of accidents and serious incidents. There is concern among GA pilots and owners that an airplane is more likely to fail in the first hours of service following maintenance, e.g., human errors during maintenance and early mortality of newly installed components. However, no prior work has investigated whether this concern is substantiated by data. Prior research has analyzed maintenance-related failures in airline transport aviation, but not in GA. This paper asks the question: *does evidence show higher rates of aircraft-caused accidents and serious incidents in GA airplanes just returned to service after inspective maintenance?* We analyze GA events reported to the NTSB between 2008 and 2024, comparing the post-maintenance reliability sampled on adverse events caused by aircraft alone, against those caused by human error alone. We find that the answer is **yes**: the risk **is 33.8% higher** than baseline in the first hour following an inspection, and it remains higher than baseline for at least the first 31 hours. Heightened pilot and operator caution in the early hours in service after an inspection are therefore justified.

Keywords: General; aviation; maintenance; reliability; inspection; failure

1. Introduction

General Aviation (GA) moves a negligible amount of passengers per year compared to airline transport operators, but it accounts for 71.6% of all accidents and serious incidents reported to the United States' National Transportation Safety Board (NTSB) between 2008 and 2024 (see Table 1). (For brevity, we will call *event* any accident or serious incident that is reported to the NTSB, per definitions in ICAO Annex 13 or, equivalently, 49 CFR §830.2 in the US Federal regulations.) Of those events, 66.0% were imputed to aircraft-related causes, as either the primary factor or a co-factor, suggesting that mechanical factors are a prime concern. The cumulative loss of life, health, and property associated with these events suggests that GA safety deserves more attention and systematic analysis than it has received so far.

Maintenance plays a critical factor in aircraft-related events. A 2002 FAA study[1] found that at least 7.05% of all GA accidents that occurred between 1988 and 1997 were maintenance-related. Furthermore, the authors

believe that 7.05% is a conservative estimate of GA maintenance-related accidents during this period. [...] there are probably many more maintenance-related accident reports in the NTSB Database that were not included in this study because they were not designated with a maintenance code.

Two known, major causes of failure after maintenance are: (1) early mortality of newly installed components, and (2) Maintenance-Induced Failures (MIFs), i.e., failures whose leading cause is improperly performed maintenance. In GA, a large fraction of all maintenance is performed as a result of scheduled inspections. All GA planes undergo annual inspections. Then, planes involved in training or rental are typically also inspected every 100 hours in service. During an inspection, not only faulty systems are impacted, being replaced or repaired, but healthy systems are touched as well, as necessary to determine their health. As a result, systems that will later be deemed healthy are

disassembled and re-assembled. This exposes those systems to human error. More specifically, there is a non-zero risk that, while repairing faulty systems, mechanics accidentally degrade healthy ones, thus causing early failures after the inspection. This is just one example of MIF, but numerous articles have examined the diverse incarnations of MIF [1,4,6,7,10].

Table 1. Breakdown of NTSB events (accidents and serious incidents) that occurred 2008-2024 per type of operation, indicated by the Part of CFR, Title 14 governing those operations. (Space and unmanned operations excluded.) General aviation accounts for a large majority of these events.

Regulations	Type of Operations	Events	
Part 91	General aviation	20,524	
Part 137	Agricultural operations	1,142	
Part 135	Air taxi and charter operations	855	
Part 121	Domestic airline transport operations	849	
Part 129	Foreign airline transport operations	319	
_	Public-use operations	270	
Part 133	Rotorcraft external load operations	119	
Part 91K	Fractional ownership operation	14	
Part 125	Private carriage on large airplane	6	
Part 103	Ultralight vehicles	5	
Other	Other and unknown operations	3,855	
Total	TOTAL	27,958	

Maintenance risks are a popular discussion topic among GA pilots, owners and mechanics, with some explicitly voicing the concern that airplanes would be more dangerous to fly during the first hours in service after maintenance, compared to their baseline risk level (i.e., after accumulating more service time without incident). But in these discussions, beliefs are typically only supported by anecdotal evidence and personal experience. Some mechanics[2] even propose a *maintenance minimalism* approach: avoid maintenance except when strictly necessary, with the intent of reducing MIF risks. Despite deserving quantitative analysis, the matter has not been explored in prior literature. We focus on that creating that analysis.

In our approach, we isolate the sample of NTSB events that occurred between 2008 and 2024, involving airplanes operating under Part 91 regulations, in which the aircraft was a causal factor and human performance was not, and study the two metrics *Airframe Time in Service since Last Inspection* (ATSLI), and *Engine Time in Service since Last Inspection* (ETSLI). We identify the distribution of events with respect to ATSLI and ETSLI values. Finally, we estimate the hazard rates associated with strictly aircraft-caused events.

Paper organization. The remainder of this paper is organized as follows: Section 3 describes the public datasets we consume; Section 4 describes the statistical methods we employ to correct sampling bias and estimate hazard functions; Section 5 presents the results; and Section 6 draws our conclusions.

Novelty claims. To the best of our knowledge, this paper is the first to focus on General Aviation while investigating a link between maintenance and accidents. It is also the first to propose employing *strictly human-caused* events as a proxy for the over-representation of low times in service, to correct for sampling bias.

2. Related Literature

Boyd[3] offers a broad analysis of the safety record of General Aviation (GA) operations, discussing accident risk factors and possible mitigations, but does not cover maintenance-induced failures. Hieminga and Turkoglu[4] examine maintenance-associated risks and propose a taxonomy to classify them, but they analyzed commercial air transport only. Insley and Turkoglu[6] also limited their review and analysis of aircraft maintenance-related accidents and serious incidents to commercial air transport aviation. Ewertowski et al.[5] discuss risk management in GA, but do not address maintenance-related risk. Tyagi et al.[7] offer a comprehensive review of all literature on safety management and hazard in

the aircraft maintenance industry, but it excludes GA. Janovec and Mojžišová[8] explore in depth the root causes of maintenance-related accidents in civil aviation (once again, excluding GA) and propose countermeasures, but do not focus on quantitative risk estimation. Kiyak[9] models the impact of preventive maintenance on total cost (including reliability cost and maintenance cost), but does not estimate risk quantitatively in any area of flight operations. Wild[10] performs an in-depth statistical examination of maintenance-related accidents that occurred in civil aviation as reported in the Aviation Safety Network dataset, but he does not cover GA, and the research questions he explores do not include a relationship between risk and time in service after maintenance.

3. Data Sources

3.1. NTSB Events

Our research uses the public *NTSB Aviation Database*¹, in the format that the NTSB adopted in 2008. This is a relational database comprising 20 tables in Microsoft Access MDB format. Four tables are of interest to our exploration: "events", "aircraft", "findings", and "engines". At the time of our analysis, the database includes accidents and serious incidents that occurred between January 2008 and November 2024.

Our analysis focuses exclusively on GA airplanes (Part 91). The aircraft categories we exclude (rotorcraft, powered lift, lighter than air, etc.) account for a minor fraction of the dataset. We also exclude space launch operations and unmanned craft events, which are also negligible. Events can have a record of ATSLI, ETSLI, both, or neither. We restrict our analysis to events for which either ATSLI or ETSLI is tracked. The cardinality of these sets are in Table 2.

Table 2. NTSB event sample sizes involving airplanes with recorded Airframe or Engine Time in Service since Last Inspection (ATSLI and ETSLI, respectively). Breakdown by cause of event. Comparison between GA and Commercial Aviation.

Event count	General Aviation (Part 91)	Commercial Aviation (Parts 121, 129, 135)
NSTB Airplane events	16,635	1,109
NTSB events with ATSLI recorded	5,696	217
Human-related events	4,298	151
Aircraft-related events	4,702	155
Strictly human-caused events	579	42
Strictly aircraft-caused events	983	46
Dual-cause events	3,719	109
NTSB Airplane events with engine time records	14,815	296
NTSB events with ETSLI recorded	5,181	144
Human-related events	4,196	118
Aircraft-related events	4,361	97
Strictly human-caused events	486	39
Strictly aircraft-caused events	651	18
Dual-cause events	3,710	79

Causal Factors. The NTSB offers causal findings for each event. Causal factors are crucial to the statistical analysis we conduct in this paper. Most events have more than one causal factor. Our approach distinguishes two major causal factors: aircraft and human.

- We call an event *human-related* and *aircraft-related* if any of the NTSB findings associated with that event are in the aircraft and in the human category, respectively.
- We call an event *strictly human-caused* if at least one of its findings is in the human category and no findings are in the aircraft category.

see the Data Availability Statement at the end of this paper.

• We call an event *strictly aircraft-caused* if at least one of its findings is in the aircraft category and no findings are in the human category.

A majority of events are caused by factors in both categories. Table 2 offers a breakdown of events by causal factor, and the charts in Figure 1 visualize how events distribute over ATSLI.

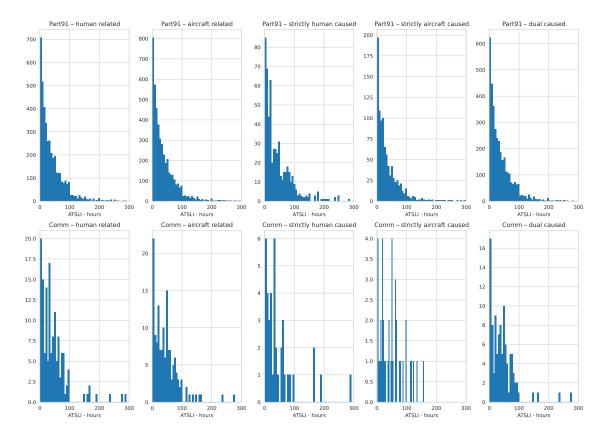


Figure 1. Event histograms binned by ATSLI with 5h bins, broken down by cause, with the ATSLI axis truncated to 300h for ease of visualization. The top charts describe GA data. The bottom charts, reported only for comparison, represent commercial aviation (Parts 121, 129 and 135).

3.2. FAA General Aviation and Part 135 Activity Surveys

We approach post-maintenance reliability by comparing the sample of adverse events caused by the aircraft alone against the sample of those caused by human error alone. In this way we can estimate the proportional hazard $(\psi(t))$ due to recent maintenance. This choice is justified in detail in Section 3.4.

In the last section of this paper, we will report the absolute hazard rates $(\lambda_1(t))$ for strictly aircraft-caused events, expressed in events per Million hours (pMh) flown and, equivalently, Mean Times Between Failures (MTBF) expressed in hours in service. We derive those as the product: $\lambda_1(t) = \psi(t)\lambda_0$, where λ_0 is the *baseline* hazard rate of *strictly aircraft-caused* events over all GA hours flown.

In turn, we estimate the λ_0 baselines using data from Table 2.1 of the *General Aviation and Part* 135 Activity Surveys published by the United States' Federal Aviation Administration (FAA)². We use survey years 2018 through 2023, inclusively. Note that the surveys lump together Part 91 and Part 135 statistics, while, in the context of this paper, we focus on Part 91 flights only. In spite of this difference, the FAA surveys are still the best resource available to us to estimate λ_0 . We compute λ_0 as the ratio between 2018-2023 Part 91+135 event counts we extracted from the NTSB dataset and the total hours flown reported in the FAA survey. The results are in Table 3. Since the survey distinguishes between single- and twin-engine aircraft, we compute distinct values of λ_0 for single- and twin-engine aircraft,

² see the Data Availability Statement at the end of this paper.

and for generic piston aircraft regardless of number of engines. In the table, we report baseline rates for both *strictly aircraft-caused* events and *aircraft-related* events, for comparison.

Table 3. Absolute baseline hazard rates (λ_0) and corresponding MTBF for *airplane-related* and for *strictly airplane-caused* events, obtained cross-referencing NTSB and FAA statistics over the years 2018-2023. Values of λ_0 are expressed in events per million hours (pMh) in service.

	Aircraft-related events				Strictly	aircraft-c	aused events
	Hours in	Event	λ_0	MTBF	Event	λ_0	MTBF
	Service	count	(pMh)	(hours)	count	(pMh)	(hours)
Single Engine	76,815,154	3,964	51.6044	19,378	662	8.6181	116,035
Twin Engine	9,179,927	292	31.8085	31,438	51	5.5556	179,999
All Piston	85,995,081	4,256	49.4912	20,206	713	8.2912	120,610

3.3. Metrics of Interest

Two metrics tracked in the NTSB dataset are crucial to our research question: Airframe Time in Service since Last Inspection (ATSLI) and Engine Time in Service since Last Inspection (ETSLI). By *Time In Service (TIS)*, we never intend to mean wall-clock time elapsed since a specified occurrence, but rather a cumulative count of hours during which the aircraft was operated since that occurrence. Operators are required by law to record the TIS when maintenance is performed. The law affords operators some freedom in how to measure TIS, with most GA operators choosing *tachometer time*. The tachometer accumulates, per each real-time hour, a fraction of hours equal to the ratio between the RPMs the engine was developing, and a reference RPM value, typically maximum-continuous-power RPMs. For example, if the pilot operates the engine at 60% of reference RPMs for one wallclock hour, tachometer time will increase its count by 0.6. Tachometer time can even run faster than real time, if the pilot exceeds the reference RPM value.

At each event, the NTSB makes an effort to reconstruct ATSLI and ETSLI from the aircraft records and other investigations, with variable degrees of success. In the NTSB database, about one third of the events report ATSLI and/or ETSLI values (Table 2). We limit our analysis only to events for which ATSLI or ETSLI is recorded.

The amount and type of maintenance information that the NTSB has decided to track limits the scope of research questions that one can answer. For example, the dataset does not track inspections preceding the last one, which makes it impossible to test a hypothesis that accounts for a plane's longer-term maintenance history.

3.4. Sampling Bias and Low-time-in-service Over-representation

The NTSB event sample suffers from a sampling bias that causes low ATSLI and ETSLI values to be over-represented. Specifically, most GA airplanes do not fly many hours between mandated inspections, so events with low ATSLI and ETSLI are disproportionately frequent.

An explanation of the causes follow. Annual inspections must occur at calendar intervals, regardless of time in service, and most GA planes do not see many hours in service in each 12-month interval, due to the constraints inherent in personal ownership. Further evidence for this claim is offered by the breakdown of NTSB events by purpose of flight (see Table 4), where 75.7% of all Part 91 events occurred in personal flights. By contrast, aircraft used for commercial passenger carriage are used as much as business allows. Moreover, airplanes used for instruction or rental are typically subject to 100-hour inspections in addition to annual inspection. As a result, these planes are virtually never flown at ATSLI or ETSLI values that exceed 100 hours.

Without bias correction, the data would suggest artificially low survival rates at high time-inservice values. The bias countermeasures we employ are described in the next section.

Table 4. Breakdown of Part 91 NTSB-tracked airplane events by primary purpose of flight. Personal flying is responsible for 75.7% of all tracked events.

Code	Primary Purpose	Events
PERS	Personal	12,568
INST	Instructional	2,451
BUS	Business	303
POSI	Repositioning	286
FLTS	Flight Test	219
AOBV	Aerial Observation	130
OWRK	Other work use	115
SKYD	Skydiving	100
FERY	Ferry	98
BANT	Banner Tow	77
EXEC	Executive/ Corporate	76
BUS	Business	69
ASHO	Air race / Airshow	55
UNK	Unknown	30
GLDT	Glider tow	29
PUBU	Public Use / Service	15
AAPL	Aerial Application	7
ADRP	Air Drop	4
FIRF	Firefighting	2
	TOTAL	16,634

4. Methods

To correct the sampling bias present in the sample of *strictly aircraft-caused events* we plan to divide hazard rates, at any given time in service *t*, by a measure of the over-representation of all GA flying at that *t*. Ideally, that measure would be the statistical distribution of ATSLI and ETSLI values across all GA hours flown. Unfortunately, that measure is not available because neither the NTSB nor the FAA dataset tracks uneventful flights.

We propose using a proxy population as a measure of the over-representation. The proxies we choose are the ATSLI and ETSLI distributions of *strictly human-caused* events. This is a creative choice and deserves justification: it seems reasonable to assume that human performance is independent from aircraft- and engine- time in service. By this logic, *strictly human-caused* events should occur uniformly over all hours flown in a given aircraft and therefore be a reasonable proxy for how ATSLI and ETSLI distribute across all Part-91 hours flown.

We plot histograms of the two distributions of strictly aircraft- and strictly human-caused events, in red and green, respectively, in Figure 2 with 5-h wide bins. Note that bins are drawn semi-transparent: a majority of red bins surpassing the corresponding green ones in the bins in the 0–75h range suggest that airplanes suffer from increased early hazards, even after sampling bias correction.

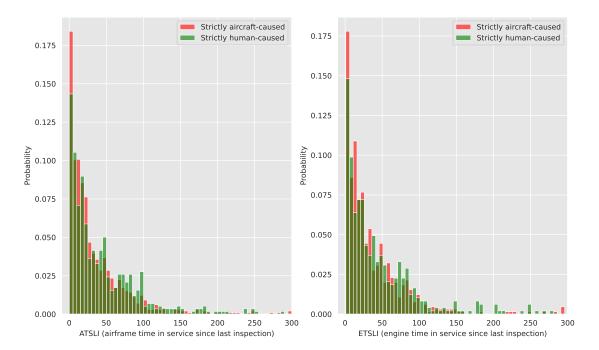


Figure 2. Visual comparison between the empirical density histogram of *strictly aircraft-caused* events, in red, and *strictly human-caused* ones, in green. The left and right charts are the distribution over ATSLI and ETSLI, respectively. Bin width is 5 hours. Green and red histograms are overlapped and semi-transparent: a bin with a "red top" indicates that airplane-caused events exceed pilot-caused ones. The green sample is our overrepresentation reference. Red bins surpassing green bins in the early (0-25) hours in service indicate that failure rates are higher at low TSLI, even after correcting for sampling bias. TSLI values are truncated at 300h for ease of presentation.

5. Results

In Section 5.1 we begin by comparing the statistical distributions of service hours since last inspection between the samples of *strictly human-caused* events and *strictly aircraft-caused* events. In Section 5.2 we visually compare their empirical survival functions. In Section 5.3 we model the effect of maintenance with a time-invariant multiplicative factor of the baseline hazard function. In Section 5.4 we fit the hazard functions independently and produce a time-varying hazard proportion function. Finally, in Section 5.5 we estimate the absolute hazard functions of post-maintenance flight.

5.1. Hypothesis Testing

We now perform a two-sample Kolmogorov-Smirnov hypothesis test to get a quantitative measure that aircraft-caused event rates exceed human-caused events, which serve as a measure of over-representation. We perform this test for both ATSLI and ETSLI.

- Alternate hypothesis H_1 : "Strictly aircraft-caused events occur at lower ATSLI/ETSLI values than strictly human-caused events." (i.e., "Recent maintenance raises failure rates".)
- Null hypothesis H₀: The two samples come from the same distributions. "Strictly aircraft-caused and strictly human-caused events are drawn from the same distribution over ATSLI/ETSLI." (i.e., "Recent maintenance does not affect failure rates".)

Test protocol: we choose $\alpha = 0.05$ as a significance level; if p-value < 0.05, we reject H_0 in favor of H_1 ; if p-value ≥ 0.05 , we are unable to reject H_0 .

The results are presented in Table 5: in both tests, p-values are well below significance, so we reject the null hypothesis in both cases. Data supports the alternate hypothesis: aircraft-caused events occur at disproportionately lower time-in-service values, i.e., recent maintenance raises accident and incident rates.

Table 5. Results of 2-sample Kolmogorov-Smirnov hypothesis testing.

	ATSLI	ETSLI
K-S statistic	0.09570	0.08465
Number of events	5,696	5,181
p-value	0.00115	0.01706
Conclusions	Reject H_0	Reject H_0

5.2. Survival Function Comparison

Additional confirmation of the increased aircraft risk due to recent inspective maintenance after bias correction is obtained comparing the empirical survival functions³ of the two populations of interest, charted in Figure 3. Charted in red is the empirical survival function of *strictly aircraft-caused* events; charted in green is the empirical survival function of *strictly human-caused* events, which is our measure of over-representation. The left and right charts depict ATSLI and ETSLI, respectively; they show a consistent story.

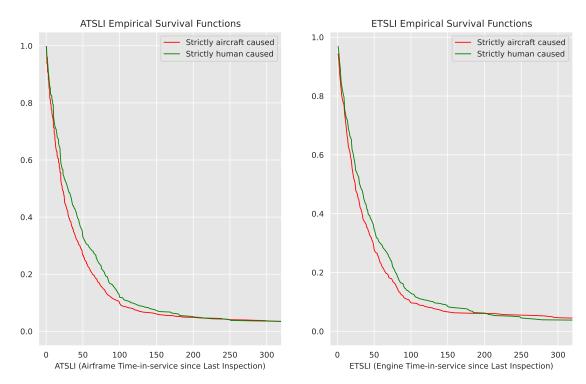


Figure 3. Empirical survival functions of *strictly aircraft-caused* events, in red, and *strictly human-caused* ones, in green. The left and right charts are the distribution over ATSLI and ETSLI, respectively. The green distribution is our chosen measure of over-representation. The chart is limited to 250h for ease of illustration.

Note that the survival rates we chart here are **not** computed over the entire population of aircraft flown in the period examined—that population is not tracked by the NTSB—but only over the population of airplanes that incurred an event at some point. For example, if the survival function assumes value 0.2 at 70h ATSLI, that means that 20% of the aircraft that experienced an event at some point still have not experienced it at 70h. Actual survival rates are much higher. We will present absolute failure rates at the end of this paper.

Discussion: In both charts, the *strictly aircraft-caused* survival function curves descend earlier than the *strictly human-caused* survival curves, with a gap between the two that extends from 0h until approximately 60h. This indicates that aircraft risk is heightened during that window, even after correcting for low-TIS over-representation.

³ for a precise definition of this term, see the Reliability and Survival Statistics Term Summary in the Appendix A.

5.3. Risk Quantification via Cox's Proportional Hazards Model

We now estimate the increased aircraft risk due to recent inspective maintenance while correcting for sampling bias, by fitting our data to Cox's Proportional Hazards (CPH) model. We do that for both ATSLI and ETSLI values, obtaining similar results. CPH is a model from survival analysis theory which assumes that a population suffers a *baseline* risk, represented by hazard function $h_0(t)$ and, in addition to that, when a particular treatment or an action is applied, the hazard function becomes $h_1(t) = \psi h_0(t)$. The proportional hazard ψ is a time-invariant factor that multiplies the baseline hazard. (For definitions of terms like hazard function, reliability function, ECHF, etc., please see the *Reliability and Survival Statistics Term Summary* in the Appendix A.)

We apply Breslow's method[11] of non-parametric CPH fitting to the two samples: *strictly human-caused* events, representing $h_0(t)$, and *strictly aircraft-caused* events, representing $h_1(t)$. We truncate both samples at the 200h cutoff point, past which the proportionality assumption no longer seems to hold (see Figure 4). We obtain the following estimates and confidence intervals for ψ :

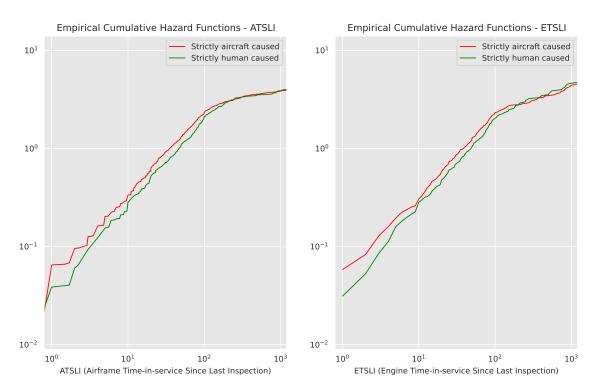


Figure 4. Region of applicability of Cox's Proportional Hazard (CPH) model. Visually, the CPH assumptions hold in the region where the Empirical Cumulative Hazard Functions (ECHF) for the two populations are parallel when charted in log-log space. Here, that region appears to be to be 0...200 h. The red curve is the *strictly aircraft-caused* events' ECHF, and the green is the *strictly human-caused* events' ECHF, our measure of over-representation. The left and right charts depict ATSLI and ETSLI, respectively.

Table 6. Results of Breslow's method of non-parametric CPH fitting applied to both measures of time in service.

	ATSLI	ETSLI
Estimated ψ	1.2268	1.2353
95% confidence interval for ψ	[1.1037, 1.3637]	[1.0930, 1.3962]

Discussion: CPH fitting reveals that in the 0...200h range of time in service after inspection, aircraft causes are responsible for a 23% average increase in accidents and serious incidents. Results are similar for both airframe and engine times in service. Assuming that strictly human-caused events are not associated with maintenance, this would indicate that airplanes are indeed more dangerous immediately after maintenance.

Limitations: Because CPH assumes a time-invariant ψ , it does not model how aircraft risk changes over the first few hours in service. Nevertheless, it is a useful summary indicator of the risk gap between the two populations, approximated by constant hazard functions $h_0(t) = \lambda_0$ and $h_1(t) = \lambda_1$. The two CHFs corresponding to λ_0 and λ_1 appear as straight lines in log-log space: see the red and the green dashed lines in Figure 5, plotted against the empirical CHF of the actual distributions, drawn with discrete dots.

In the next section of this paper, we obtain a time-varying estimate of the proportional risk.

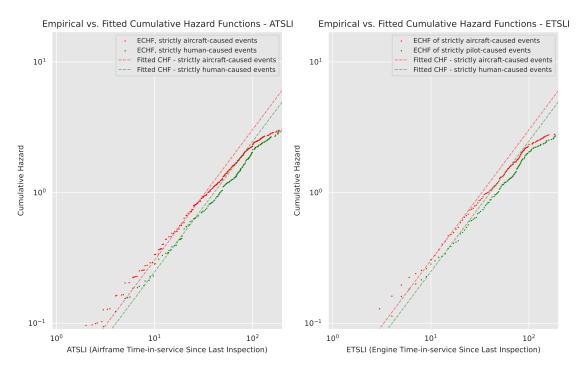


Figure 5. We use Cox Proportional Hazard (CPH) model fitting to estimate aircraft risk corrected for sampling bias. Visually, the proportional hazard ψ is the vertical distance between the two Cumulative Hazard Functions in log-log space. For ease of comparison, we chart both the empirical CHFs of the actual distributions (discrete points), and the CHFs of corresponding constant-hazard rate λ_0 , λ_1 fitted to the data (dashed lines). Green curves are our chosen measure of over-representation.

5.4. Proportional Hazard Function Estimation

We now take the final step toward deriving the time-dependent proportional Hazard Function $\psi(t)$ of strictly aircraft-caused events. With that, we can compute absolute event rates $\lambda_1(t)$ for every hour of ATSLI or ETSLI, which express how dangerous an airplane is during that hour in service.

To do that, we adopt a parametric approach:

- 1. We fit the ATSLI distribution $h_1(t)$ of *strictly aircraft-caused events*. This distribution suffers from sampling bias as described earlier. The best fit is a Weibull mixture, of parameters $\alpha_1 = 32.1546$, $\beta_1 = 0.919913$, $\alpha_2 = 858.447$, $\beta_2 = 0.560298$, $w_1 = 0.934676$, depicted in Figure 6(a).
- 2. We fit the ATSLI distribution $h_0(t)$ of *strictly human-caused events*. This distribution is our chosen measure of sampling bias. The best fit is Weibull mixture, of parameters $\alpha_1 = 42.0653$, $\beta_1 = 0.941214$, $\alpha_2 = 1762.77\beta_2 = 0.825542$, $w_1 = 0.955302$, depicted in Figure 6(b).
- 3. We derive $\psi(t) = h_1(t)/h_0(t)$, the proportional hazard functions corrected for sampling bias. It is charted in Figure 7.

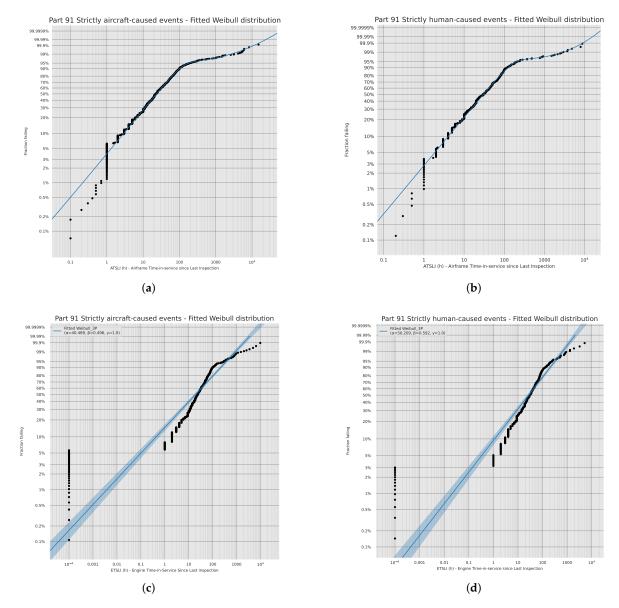


Figure 6. Failure probability plotted in log-log scale for each fitted distribution: (a) ATSLI of strictly aircraft-caused events; (b) ATSLI of strictly human-caused events; (c) ETSLI of strictly aircraft-caused events; (d) ETSLI of strictly human-caused events. We find a higher quality of fit for ATSLI data than for ETSLI.

We choose to derive $\psi(t)$ as a ratio between two smooth, parameterized PDF functions.

Figure 7 shows that during the first hour, the hazard rate is 33.8% higher then baseline, then it's 30.0% higher in the second hour, and it keeps decreasing, crossing the baseline level at 31h ATSLI. It reaches a minimum at 188h ATSLI, at which the relative risk is 56.4% of baseline. Then it starts increasing again, crossing baseline level once more at 327h and reaching a new peak at 478h ATSLI, at a risk level 37.1% above the baseline. In its first 500h, the curve resembles a typical "bathtub curve" hazard function as seen in numerous reliability applications[12], with an early mortality period, a useful life period, and a wear-out period.

We decide *not* to extend our ATSLI considerations to ETSLI because the quality of parametric fits we were able to achieve on ETSLI data (see Figure 6(c),(d)) appeared insufficient for use in further analysis.

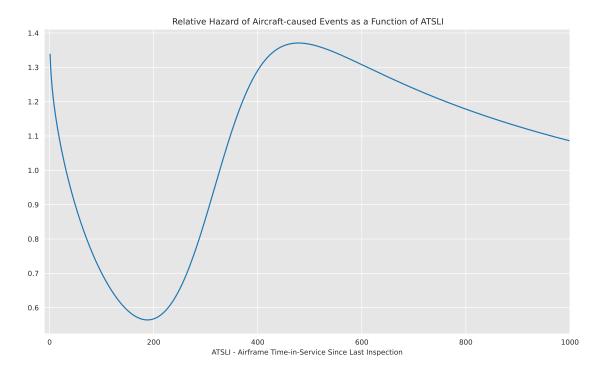


Figure 7. Relative hazard function $\psi(t)$ for aircraft-caused events, as a function of ATSLI.

5.5. Absolute Hazard Function Estimation

We now combine $\psi(t)$, the bias-corrected proportional hazard function of strictly airplane-caused events as a function of ATSLI (derived in the previous section) with λ_0 , the time-invariant absolute baseline hazard rate we derived earlier, in Section 3.2. We use three distinct estimates for λ_0 , one for single-engine planes, one for twin-engines, and one for generic piston engines.

That yields absolute risk rates $\lambda_1(t) = \psi(t)\lambda_0$ that we tabulate in Tables 7, 8, and 9 for the first 15h ATSLI. Note that we estimated $\psi(t)$ on *strictly aircraft-caused* events to exclude the influence of other factors, but it seems now sound to also apply $\psi(t)$ also to *aircraft-related events*, so the tables present λ_1 for both event classes.

An example of using the tables follows: in the first hour of service since inspection, hazard is increased by a factor of $1.338 \times$: in Single-Engine airplanes, this leads to a rate of 11.533 strictly aircraft-caused events per million hours and 69.059 aircraft-caused events per Million hours. Equivalently, the expected amounts of hours flown before a strictly aircraft-caused or an aircraft-related event are 86,707 and 14,480 hours. These hazard rates are significantly higher than the baselines presented in Section 3.2.

Table 7. Absolute rates of strictly-aircraft caused and aircraft-related events in the first 15 hours of ATSLI, for single-engine GA airplanes.

		Single-Engine Airplanes			
ATSLI	$\psi(t)$	$\overline{\lambda_1}$ strict	λ_1 aircraft	MTBF strict	MTBF aircraft
		aircraft	related	aircraft	related
(h)		(pMh)	(pMh)	(h)	(h)
1	1.338	11.533	69.059	86,707	14,480
2	1.300	11.207	67.106	89,230	14,902
3	1.275	10.992	65.818	90,977	15,193
4	1.256	10.821	64.798	92,409	15,433
5	1.239	10.676	63.927	93,668	15,643
6	1.224	10.547	63.153	94,817	15,835
7	1.210	10.429	62.446	95,889	16,014
8	1.197	10.319	61.792	96,905	16,183
9	1.186	10.217	61.177	97,878	16,346
10	1.174	10.120	60.596	98,817	16,503
11	1.164	10.027	60.043	99,728	16,655
12	1.153	9.939	59.513	100,616	16,803
13	1.143	9.854	59.003	101,485	16,948
14	1.134	9.772	58.511	102,338	17,091
15	1.125	9.692	58.035	103,177	17,231

Table 8. Absolute rates of strictly-aircraft caused and aircraft-related events in the first 15 hours of ATSLI, for twin-engine GA airplanes.

			Turin E	acina Airplana	.0
			IWIII-EI	ngine Airplane	5
ATSLI	$\psi(t)$	λ_1 strict	λ_1 aircraft	MTBF strict	MTBF aircraft
		aircraft	related	aircraft	related
(h)		(pMh)	(pMh)	(h)	(h)
1	1.338	7.435	42.567	134,504	23,492
2	1.300	7.225	41.364	138,418	24,176
3	1.275	7.086	40.569	141,128	24,649
4	1.256	6.976	39.941	143,349	25,037
5	1.239	6.882	39.404	145,302	25,378
6	1.224	6.799	38.927	147,084	25,689
7	1.210	6.723	38.491	148,747	25,980
8	1.197	6.652	38.088	150,323	26,255
9	1.186	6.586	37.709	151,832	26,518
10	1.174	6.524	37.351	153,289	26,773
11	1.164	6.464	37.010	154,702	27,019
12	1.153	6.407	36.683	156,080	27,260
13	1.143	6.352	36.369	157,428	27,496
14	1.134	6.299	36.066	158,751	27,727
15	1.125	6.248	35.773	160,052	27,954

Table 9. Absolute rates of strictly-aircraft caused and aircraft-related events in the first 15 hours of ATSLI, for generic piston planes, regardless of engine count.

Aggregate Piston Airplanes - regardless of engine count						
ATSLI	$\psi(t)$	λ_1 strict	λ_1 aircraft	MTBF strict	MTBF aircraft	
		aircraft	related	aircraft	related	
(h)		(pMh)	(pMh)	(h)	(h)	
1	1.338	11.096	66.231	90,126	15,099	
2	1.300	10.782	64.358	92,749	15,538	
3	1.275	10.575	63.122	94,565	15,842	
4	1.256	10.411	62.144	96,053	16,092	
5	1.239	10.271	61.309	97,361	16,311	
6	1.224	10.147	60.566	98,555	16,511	
7	1.210	10.033	59.889	99,670	16,698	
8	1.197	9.928	59.261	100,726	16,874	
9	1.186	9.829	58.672	101,737	17,044	
10	1.174	9.736	58.115	102,713	17,207	
11	1.164	9.647	57.584	103,660	17,366	
12	1.153	9.562	57.075	104,583	17,521	
13	1.143	9.480	56.587	105,487	17,672	
14	1.134	9.401	56.115	106,373	17,821	
15	1.125	9.324	55.659	107,245	17,967	

6. Conclusions

We examined a commonly held belief that maintenance raises the risk of accidents and serious incidents in general aviation in the immediate service hours after an inspection. We subjected this belief to quantitative scrutiny, and found it to be **substantiated by data**.

Specifically, we examined the distribution of time-in-service-since-last-inspection metrics in failures occurring in the population of accidents and serious incidents reported to the NSTB in 2008-2024, affecting general aviation airplanes. We found this sample to be biased towards low time-in-service values, because most planes are flown only a few hours between mandated inspections. We studied the population of *strictly aircraft-caused* events using the *strictly human-caused* events as a measure of bias. We verified via Kolmogorov-Smirnov hypothesis testing that the two populations are statistically distinct. Via CPH model fitting, we estimated the average proportional hazards for the first hours in service. Finally, we fitted the two populations with Weibull distributions and obtained an analytic estimate of the proportional hazard as a function of time $\psi(t)$, which shows that in the first hour in service, hazard rates are 33.8% higher than baseline.

Our findings consistently substantiate the belief that inspective maintenance increases danger in the first hours of service after a plane returns from inspective maintenance. This belief, commonly held on an empirical and anecdotal basis, is now supported by statistical evidence. Pilot and owners of Part 91 airplanes are therefore justified in exercising increased caution when performing post-maintenance acceptance flights and flying those planes in the subsequent hours in service. Efforts to increase the standards of maintenance processes and materials will likely reduce these risks.

Supplementary Materials: The authors make their entire research notebook available for review and reproduction at the following address: https://nbviewer.org/urls/www.scarpaz.com/flight/Statistics/How%20Risky%20is%20Maintenance%20v5.ipynb.

Author Contributions: Conceptualization, D.S.; methodology, D.S. and J.H.; software, D.S.; validation, J.H.; investigation, D.S.; writing—original draft preparation, D.S.; writing—review and editing, J.H.; visualization, D.S.. All authors have read and agreed to the published version of the manuscript.

Funding: This research received no external funding.

Institutional Review Board Statement: Not applicable.

Informed Consent Statement: Not applicable.

Data Availability Statement: No new datasets were created in the course of this study. All data analyzed in this study are from public domain resources: (1) NTSB Aviation Database, available at https://data.ntsb.gov/avdata, and (2) FAA General Aviation and Part 135 Activity Suveys, at https://www.faa.gov/data_research/aviation_data_statistics/general_aviation.

Acknowledgments: In this section you can acknowledge any support given which is not covered by the author contribution or funding sections. This may include administrative and technical support, or donations in kind (e.g., materials used for experiments).

Conflicts of Interest: The authors declare no conflicts of interest.

Abbreviations

The following abbreviations are used in this manuscript:

CDF Cumulative Distribution Function CFR Code of Federal Regulations **CHF** Cumulative Hazard Function CPH Cox's Proportional Hazards (CPH) model **ECHF Empirical Cumulative Hazard Function** FAA Federal Aviation Administration GA General Aviation, i.e., operations performed according to Part 91 Regulations MIF Maintenance-Induced Failure **PDF** Probability Density Function pMh per Million hours MTBF Mean Time Between Failures NTSB National Transportation Safety Board ATSLI Airframe Time in Service since Last Inspection, measured in hours **ETSLI** Engine Time in Service since Last Inspection, measured in hours TIS Time in Service

Appendix A. Reliability and Survival Statistics Term Summary

We offer a concise refresher of reliability statistics as we will apply them in this paper.

Survival analysis is the branch of statistics that studies and predicts the expected duration of time until an event of interest occurs (e.g., death in living organisms, failure in mechanical systems) on the basis of measured data.

In our application, the events of interest are NTSB-tracked events (accidents or serious incidents), that were categorized as *strictly aircraft-caused* or *strictly human-caused*, per definitions given above.

In the term definitions below, the term *failure* denote the event of interest, and not an airplane failure in the general sense. It is possible for many mechanical failures to occur and not lead to any NTSB event, and therefore no failure in the sense of word used here. The readers should avoid being confused by this ambiguity.

- f(t) is the failure Probability Density Function (PDF) of the lifetimes.
 - It is the probability that a failure occurs at time *t*.
 - $\lim_{dt \to 0} \frac{p(t < T < = t + dt)}{dt}$.
 - Equivalently: what fraction of the population experienced a failure around time t.
- F(t) is the Cumulative Density Function (CDF):
 - The probability that a failure occurs at any time before *t*
 - $F(t) = \int_0^t f(x) dx$
 - Equivalently, what fraction of the population has already experienced a failure at time t
 - In our application, F(t) is the fraction of planes that have suffered an event at or before time t
- h(t) is the Hazard function (HF)
 - The conditional probability that a failure will occur between t and t + d, given that it hasn't occurred yet at time t.

- $z(t) = \lim_{dt \to 0} \frac{p(t < T < = t + dt | T > t)}{dt}$ It equals $z(t) = \frac{f(t)}{1 F(t)}$
- Difference between f(t) and h(t)
 - $f(t)\Delta t$ is the (unconditional) probability that the element will fail in the interval $(t, t + \Delta t)$
 - $h(t)\Delta t$ is the conditional probability that the element will fail in the same time interval, given that it has *survived* until time *t*.
- R(t), the Reliability Function, also called the Survival Function (SF)
 - Defined as R(t) = 1 F(t)
 - The probability that the member of the population survives at least till time t (i.e., does not experience an event before t): R(t) = p(X > t)
 - Equivalently, what fraction of the population has not yet suffered an event at a given time.

References

- Goldman, S. M., Fiedler, E. R., and King, R.E., General Aviation Maintenance-Related Accidents: A Review of Ten Years of NTSB Data. Office of Aerospace Medicine, Federal Aviation Administration. December 2002.
- 2. Busch, M., How Risky is Maintenance? (online video), (https://www.youtube.com/watch?v= oHwtkZNSIPA. Accessed Jan 30, 2025.
- Boyd, D. D. (2017) A Review of General Aviation Safety (1984–2017), Aerospace Medicine and Human Performance, Aerospace Medical Association, 88(7). doi:10.3357/AMHP.4862.2017
- Hieminga, J., Turkoglu, C., (2018) Risks Associated with Aircraft Maintenance in European Commercial Air Transport, Proc. Intl. Cross-industry Safety Conference (ICSC) - European STAMP Workshop & Conference (ESWC) (ICSC-ESWC 2018), Vol. 273. doi:10.1051/matecconf/201927301005
- 5. Ewertowski, T., Berlik, M., Sławińska, M. (2024) The Effectiveness of Operational Residual Risk Assessment: The Case of General Aviation Organizations in Enhancing Flight Safety in Alignment with Sustainability. Sustainability, 16(23). doi:10.3390/su162310606
- Insley, J., Turkoglu, C. (2020) A Contemporary Analysis of Aircraft Maintenance-Related Accidents and Serious Incidents. Aerospace 7(81). doi:10.3390/aerospace7060081
- 7. Tyagi, A., Tripathi, R., Bouarfa, S. (2023). Safety Management System and Hazards in the Aircraft Maintenance Industry: a Systematic Literature review. Aviation, 27(3), 212-224. doi:10.3846/aviation.2023.19851
- 8. Janovec, M., Mojžišová, K. (2024) Increasing aircraft maintenance safety by minimizing human error, Proc. 13th International Conference on Air Transport - INAIR 2024, Challenging the Status Quo in Aviation, Transportation Research Procedia, 81, 118-127. doi:10.1016/j.trpro.2024.11.013
- Kiyak, Emre. (2012). The effects of aircraft preventive maintenance on reliability. International Journal of Applied Mathematics and Informatics. 6. 9-16.
- Wild, G. (2023) A Quantitative Study of Aircraft Maintenance Accidents in Commercial Air Transport. Aerospace, 10, 689. doi:10.3390/aerospace10080689
- 11. Royston, P., Parmar, M. K. B. (2002). Flexible Parametric Proportional-hazards and Proportional-odds Models for Censored Survival Data, with Application to Prognostic Modelling and Estimation of Treatment Effects. Statistics in Medicine, 21(15), 2175–2197. doi:10.1002/sim.1203
- 12. Klutke, G.-A., Kiessler, P. C. and Wortman, M. A. (2003). A Critical Look at the Bathtub Curve. IEEE *Transactions on Reliability*, 52(1), 125-129.

Disclaimer/Publisher's Note: The statements, opinions and data contained in all publications are solely those of the individual author(s) and contributor(s) and not of MDPI and/or the editor(s). MDPI and/or the editor(s) disclaim responsibility for any injury to people or property resulting from any ideas, methods, instructions or products referred to in the content.